

**Information in accordance with Section 63
of the German Securities Trading Act [WpHG]
As of: 01/2020**

1. Information about our company

MGW Gesellschaft für Geld- und Wertpapiervermittlung mbH
Raiffeisenallee 16
82041 Oberhaching

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Fax: +49 (0) 89 672 08 300

Internet: www.mgw-muenchen.de
E-mail: info@mgw-muenchen.de
Bloomberg: tmgw1@bloomberg.net
LEI: 529900R4SY00S8WQJX77

MGW mbH is recorded in the Munich Commercial Register under HRB 155203.
Its sole Managing Director is Mr. Helmuth Huber.
The appointed substitute is Mr. Christian Thumerer.

2. License

MGW mbH possesses a license under Section 32 of the German Banking Act [KWG] to provide investment brokerage services (Section 1 (1) (a) No. 1 of the KWG) without authorization to obtain ownership or possession of its customers' funds or securities and without authorization to engage in proprietary trading. MGW mbH is not a CRR institution.

3. Service

MGW mbH solely and exclusively provides the securities and financial service of "investment brokering" between eligible counterparties and/or professional clients with respect to financial instruments in the fixed income segment. In the money market segment, it does not broker financial instruments, but only financial products such as savings bonds and fixed term deposits.

4. Relevant supervisory authorities

Federal Financial Supervisory Authority
[Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin]
Graurheindorfer Str. 108
53117 Bonn

Federal Financial Supervisory Authority
[Bundesanstalt für Finanzdienstleistungsaufsicht, BaFin]
Marie Curie Str. 24-28
60439 Frankfurt

Tel: +49 (0) 228 41080
Fax: +49 (0) 228 4108 15500
E-mail: postelle@bafin.de
Internet: www.bafin.de

MGW mbH is registered with BaFin under No. 119191.

According to Section 34c (1) no. 2 GewO (with effect from 01/01/2020):
IHK für München und Oberbayern
Max-Joseph-Straße 2
80333 München
Ident No.: 945622

5. Compensation fund EDW

MGW mbH is a member of the Securities Trading Companies Compensation Fund (EdW) located in Berlin.

Tel: +49 (0) 30 203699 5625

Internet: www.e-d-w.de

Email: mail@e-d-w.de

6. Tax number

MGW mbH is subject to taxation in Germany and is registered with the Munich Tax Office under Tax No. DE 143 162 30475.

7. Banking information

Hypo Vereinsbank AG
Boschetsrieder Straße 71

81379 Munich

IBAN: DE94 7002 0270 0658 5095 27

BIC: HYVEDEMMXXX

8. Compliance, data protection and money laundering prevention

MGW mbH has appointed officers responsible for compliance, data protection and money laundering protection in accordance with the statutory regulations.

They can be reached at:

compliance@mgw-muenchen.de

datenschutz@mgw-muenchen.de

gwp@mgw-muenchen.de

9. Conflicts of interest

MGW mbH has taken precautions so that any potential conflicts of interest between clients/business partners and our company, between clients/business partners and our employees, or among clients/business partners do not affect client interests. We provide our services honestly, fairly, and professionally in the interests of our clients and avoid all conflicts of interest to the extent possible. For this purpose, we have created a compliance organization, which, in particular, includes the obligation to disclose all employee transactions, ongoing control of all brokering transactions, appropriate documentation of the entire brokering process, a prohibition on the acceptance of any gifts and other advantages, whether tangible or intangible in nature, by our employees, as well as continuing training and education.

10. Legal framework

All contractual and business relationships between MGW mbH and its business partners are subject exclusively to German law, to the explicit exclusion of the UN Convention on Contracts for the International Sale of Goods. To the extent legally possible, jurisdiction shall lie with the courts where MGW mbH has its registered office. The contract language is German. Insofar as business communication must be conducted in a different language than German, German law shall be nonetheless exclusively applicable. Business partners may communicate with MGW mbH by telephone, in writing or electronically (letter, fax, e-mail, Bloomberg, Reuters).

11. Clients

In the business of brokering financial instruments (fixed income segment), MGW mbH classifies its clients in accordance with Section 67 WpHG and only accepts eligible counterparties and – in individual cases, after review – professional clients, as business partners.

12. Additional information

We will be glad to provide you with additional information about our company upon request.